



TRANSMITTAL FORM		Application Number		09/480,724			
(to be used for all correspondence after initial filing)		Filing Date		January 7, 2000			
		First Named Inventor		Robert Carey et al.			
		Group Art Unit		3628			
		Examiner Name		H. Dass			
Total Number of Pages in This Submission		14		Attorney Docket Number		12640US01	
ENCLOSURES (check all that apply)							
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO 1449/08A with references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) (      sheets) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____		<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return receipt postcard			
Remarks		The Commissioner is authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 13-0017.					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual Name		Ronald E. Larson, Esq. McAndrews Held & Malloy, Ltd.					
Signature		<i>Ronald E. Larson</i>					
Date		July 27, 2004					
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 27, 2004							
Name (Print/type)		Ronald E. Larson		Registration No. (Attorney/Agent)		24,478	
Signature		<i>Ronald E. Larson</i>				Date July 27, 2004	



3628  
RFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Case No. 12640US01

PATENT

In the Application of: )  
 )  
ROBERT CAREY et al. )  
 )  
Serial No.: 09/480,724 )  
 )  
Filed: January 7, 2000 )  
 )  
For: METHOD OF SELECTING )  
SECURITIES FOR A PORTFOLIO )  
 )  
Examiner: Harish T. Dass )  
 )  
Group Art Unit: 3628 )  
 )  
Confirmation No.: 4660 )

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria VA 22313-1450 on July 27, 2004.

By: Ronald E. Larson  
Ronald E. Larson  
Reg. No. 24,478

**AMENDMENT D**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Dear Sir:

In response to the Office Action dated April 29, 2004, kindly amend the above-identified application as follows:

AMENDMENTS TO THE SPECIFICATION:

None.